

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Christopher J. Danek, Thomas M. Keast, Bryan E. Loomas, and Michael D. LauferApplication No./Patent No.: 6,411,852 Filed/Issue Date: June 25, 2002Entitled: MODIFICATION OF AIRWAYS BY APPLICATION OF ENERGY

Asthmatx, Inc., a Corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 (The extent (by percentage) of its ownership interest is _____ %)
- in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Danek, Keast, Loomas To: Broncus Technologies, Inc.
 The document was recorded in the United States Patent and Trademark Office at Reel 010060, Frame 0904, or for which a copy thereof is attached.
2. From: Laufer To: Broncus Technologies, Inc.
 The document was recorded in the United States Patent and Trademark Office at Reel 010715, Frame 0688, or for which a copy thereof is attached.
3. From: Broncus Technologies, Inc. To: Asthmatx, Inc.
 The document was recorded in the United States Patent and Trademark Office at Reel 014990, Frame 0014, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

P. T. Parker
 Signature

11/30/07
 Date

Paul T. Parker - Reg. No. 38,04 38,264
 Printed or Typed Name

(206) 359-8000
 Telephone Number

Attorney for Assignee of Record
 Title